



**Aditya Ultra Steel Limited**

CIN L27100GJ 2011PLC066552

(Authorised Manufacturer of Kay2 Xenox Under Retail License User Agreement  
with Kamdhenu Metallic Industries Limited)

Office & Factory :

Survey No. 48, NH-8 A, Wankaner Boundary, Bhalgam, Dist. Rajkot, Gujarat - 363621.

Ph.: 6357585716 | Email : cs@aditya-ultra-steel.com | Web : www.aditya-ultra-steel.com

IS 1786 2008



CML - 3847982



**Date:** 29/04/2025

To,  
The Manager,  
Listing Compliance Department,  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (E), Mumbai-400 051

**Sub.:**— Intimation of Imposition of Fine pursuant to Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**Ref: Security Symbol: AUSL**

Dear Sir/Madam,

Pursuant to Regulation 30 of the SEBI Listing Regulations read with SEBI Circular no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 and/or SEBI Circular No. SEBI/HO/CFD/CFD-PoD-2/P/CIR/2025/25 dated February 25, 2025 (the "SEBI Circular") this is to inform you that the Company has received the Interim Order from Regional Director (NWR), Ministry of Corporate Affairs imposing the fine on Director of the company.

In respect of the captioned matter, I, the undersigned, state and declare that the information and details provided in **Form A** annexed to this letter, in compliance with Regulation 30(13) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, is true, correct and complete to the best of my/ (our) knowledge and belief.

Further, details as required under the applicable provisions of Regulation 30, read with schedule III, Part- A, Para- A, sub-para 20 are given as **Form B** annexed to this letter.

This is for your information and records.

Thanking You.  
Yours faithfully,

**For ADITYA ULTRA STEEL LIMITED**



**Sunny Sunil Singhi**  
Managing Director  
DIN: 07210706



**Aditya Ultra Steel Limited**

CIN L27100GJ 2011PLC066552

(Authorised Manufacturer of Kay2 Xenox Under Retail License User Agreement with Kamdhenu Metallic Industries Limited)

Office & Factory :

Survey No. 48, NH-8 A, Wankaner Boundary, Bhalgam, Dist. Rajkot, Gujarat - 363621.

Ph.: 6357585716 | Email : cs@aditya-ultra-steel.com | Web : www.aditya-ultra-steel.com

IS 1786 2008



CML - 3847982



## Form A

### DISCLOSURE BY ADITYA ULTRA STEEL LIMITED REGARDING RECEIPT OF COMMUNICATION FROM REGULATORY, STATUTORY, ENFORCEMENT OR JUDICIAL AUTHORITY UNDER THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

[Regulation 30(13) – Disclosure of communication from regulatory, statutory, enforcement or judicial authority]

Sr. No.	Particulars	Details
1.	Name of the listed company	Aditya Ultra Steel Limited
2.	Type of communication received	Interim Order
3.	Date of receipt of communication	28/04/2025
4.	Authority from whom communication received	Regional Director (NWR), Ministry of Corporate Affairs
5.	Brief summary of the material contents of the communication received, including reasons for receipt of the communication	<b>Brief summary &amp; reasons:</b> Interim order received, on Suo-moto compounding application filed by the company, from Regional Director (NWR), Ministry of Corporate Affairs imposing the fine on Mr. Varun Manojkumar Jain, Director for Violation of Section 129 of the Companies Act, 2013 for non-disclosure of shareholder list (shareholding position) and transfer of shares list during the FY 2020-21.
6.	Period for which communication would be applicable, if stated	F.Y. 2020-21
7.	Expected financial implications on the listed company, if any	Not applicable as the fine imposed on the Director only.
8.	Details of any aberrations/non-compliances identified by the authority in the communication	Violation of Section 129 of the Companies Act, 2013 for non-disclosure of shareholder list (shareholding position) and transfer of shares list during the FY 2020-21.
9.	Details of any penalty or restriction or sanction imposed pursuant to the communication	Rs. 1,00,000/- fine imposed on Mr. Varun Manojkumar Jain, Director which should be paid within 15 days.
10.	Action(s) taken by listed company with respect to the communication	Not applicable, however Director assured the company that he will pay the fine in due cause.
11.	Any other relevant information	Not applicable



**Aditya Ultra Steel Limited**

CIN L27100GJ 2011PLC066552

(Authorised Manufacturer of Kay2 Xenox Under Retail License User Agreement  
with Kamdhenu Metallic Industries Limited)

Office & Factory :

Survey No. 48, NH-8 A, Wankaner Boundary, Bhalgam, Dist. Rajkot, Gujarat - 363621.

Ph.: 6357585716 | Email : cs@aditya-ultra-steel.com | Web : www.aditya-ultra-steel.com

IS 1786 2008



CML - 3847982



### Form B

#### DETAILS AS REQUIRED UNDER THE APPLICABLE PROVISIONS OF REGULATION 30, READ WITH SCHEDULE III, PART- A, PARA- A, SUB-PARA 20:

Sr. No.	Particulars	Details
1.	Name of the authority	Regional Director (NWR), Ministry of Corporate Affairs
2.	Nature and details of the action(s) taken or order(s) passed	Interim order received, on Suo-moto compounding application filed by the company, from Regional Director (NWR), Ministry of Corporate Affairs imposing the fine on Mr. Varun Manojkumar Jain, Director.
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	28/04/2025
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	Violation of Section 129 of the Companies Act, 2013 for non-disclosure of shareholder list (shareholding position) and transfer of shares list during the FY 2020-21.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Not applicable as the fine imposed on the Director only.